

# On a fibrational construction for optics, lenses, and Dialectica categories

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# Acknowledgments



**Thanks AMS for the great opportunity!**

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## Introduction

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This talk will attempt to answer that question.

## References

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# Fun with fibrations

## Lenses

Let  $\mathbf{C}$  be cartesian monoidal.

### Definition

The category of lenses  $\mathbf{Lens}(\mathbf{C})$  has

- as objects, pairs  $(X, U)$  of objects of  $\mathbf{C}$ ,
- as morphisms  $(X, U) \rightleftarrows (Y, V)$ , pairs

$$f : U \rightarrow V,$$

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A lens represents a back and forth dialogue: it answers to question coming from its left boundaries by asking questions to its right boundary.

# Lenses

$$\begin{array}{ccccc} U \times X & \xleftarrow{f^\#} & U \times Y & \xrightarrow{f \times Y} & V \times Y \\ \pi_U \downarrow & & \pi_U \downarrow & \lrcorner & \pi_V \downarrow \\ U & \xlongequal{\quad} & U & \xrightarrow{f} & V \end{array}$$

## Dependent lenses

Let  $\mathbf{C}$  be finitely complete

### Definition

The category of dependent lenses  $\mathbf{DLens}(\mathbf{C})$  has

- as objects, bundles  $\begin{array}{c} X \\ \downarrow \\ U \end{array}$  (i.e. morphisms) in  $\mathbf{C}$ ,

- as morphisms  $\begin{array}{c} X \\ \downarrow \\ U \end{array} \Leftrightarrow \begin{array}{c} Y \\ \downarrow \\ V \end{array}$ , pairs

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A dependent lens represents a back and forth dialogue *with strict rules on which type of answers we are allowed to give for a question.*

## Dependent lenses

$$\begin{array}{ccccc} X & \xleftarrow{f^\#} & U \times_V Y & \xrightarrow{f_Y} & Y \\ \downarrow & & \downarrow & \lrcorner & \downarrow \\ U & \xlongequal{\quad} & U & \xrightarrow{f} & V \end{array}$$

## $p$ -lenses

Let  $p : \mathbf{E} \rightarrow \mathbf{C}$  be a fibration/let  $p^{-1} : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Cat}$  be an indexed category.<sup>1</sup>

### Definition

The category of  $p$ -lenses has

- as objects,  $p$ -bundles  $\left( \begin{array}{c} X:p^{-1}U \\ U:\mathbf{C} \end{array} \right)$  (i.e. objects of  $\mathbf{E}$ )
- as morphisms  $\left( \begin{array}{c} X \\ U \end{array} \right) \rightleftarrows \left( \begin{array}{c} Y \\ V \end{array} \right)$ , morphisms:

$$\begin{array}{l} f : U \rightarrow V \quad : \mathbf{C} \\ f^\sharp : f^*Y \rightarrow X \quad : p^{-1}U \end{array}$$

---

<sup>1</sup>To me every fibration is effectively cloven.

## All lenses are $p$ -lenses

**data**

**lenses**

$$\text{cod} : \mathbf{C}_{\text{proj}}^{\downarrow} \longrightarrow \mathbf{C}$$

**dependent lenses**

$$\text{cod} : \mathbf{C}^{\downarrow} \longrightarrow \mathbf{C}$$

**$p$ -lenses**

$$p : \mathbf{E} \longrightarrow \mathbf{C}$$

## Fibrations & vertical-cartesian factorization system

### Definition

Any Grothendieck fibration  $p : \mathbf{E} \rightarrow \mathbf{C}$  induces a factorization systems on  $\mathbf{E}$  where the left morphisms are vertical morphisms ( $p(f) = 1$ ) and the right morphisms are cartesian.

$$\begin{array}{ccc} \left( \begin{array}{c} p(\varphi)^*Y \\ U \end{array} \right) & \xrightarrow{\text{cart}} & \left( \begin{array}{c} Y \\ V \end{array} \right) \\ \text{vert} \uparrow & & \nearrow \varphi \\ \left( \begin{array}{c} X \\ U \end{array} \right) & & \end{array}$$

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$$\begin{array}{ccc} \left( \begin{array}{c} f^*Y \\ U \end{array} \right) & \xrightarrow{f} & \left( \begin{array}{c} Y \\ V \end{array} \right) \\ \uparrow f^b & \nearrow \text{cartesian} & \\ \left( \begin{array}{c} X \\ U \end{array} \right) & & \left( \begin{array}{c} f^b \\ f \end{array} \right) \end{array}$$

**Idea:** a fibred category is made of morphisms from the fibers (vertical morphisms) composed with morphisms from the base (cartesian).

## $p$ -lenses from dual fibrations

### Definition

Given a Grothendieck fibration  $p : \mathbf{E} \rightarrow \mathbf{C}$ , we can form its **dual** or **(fiberwise) opposite**

$$p^\vee : \mathbf{E}^\vee \longrightarrow \mathbf{C}$$

obtained by replacing each fiber with its opposite:  $p^\vee = \int (p^{-1} \circ (-)^{\text{op}})$ .

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This is a  $p$ -lens!

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Can  $DC$  be constructed in a similar way to  $\mathbf{Lens}(\mathbf{C})$ ?

Can its shape be abstracted, like we did for  $p$ -lenses?

## Dialectica, fibrationally

Suppose we replace the lens part with a  $p$ -lens, where do we get the predicates?

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We need a second fibration of predicates!

$$\begin{array}{ccc} \mathbf{P} & & \text{'}\alpha \subseteq \beta\text{' } \\ \downarrow q & & \\ \mathbf{E} & & f^\sharp : f^*Y \rightarrow X \\ \downarrow p & & \\ \mathbf{C} & & f : U \rightarrow V \end{array}$$

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First, notice:

$$\begin{array}{ccc} \mathbf{P} & \xrightarrow{q} & \mathbf{E} \\ & \searrow_{q \circ p} & \swarrow_p \\ & \mathbf{C} & \end{array} \quad p \circ q \xrightarrow{q} p : \mathbf{Fib}(\mathbf{C})$$

which means the triangle commutes & that  $q$  respects cartesian arrows (both trivial).

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which means the triangle commutes & that  $q$  respects cartesian arrows (both trivial).

Then we notice  $(-)^{\vee}$  is a functorial construction  $\mathbf{Fib}(\mathbf{C}) \rightarrow \mathbf{Fib}(\mathbf{C})$ , hence can be applied to the whole triangle:

$$\begin{array}{ccc} \mathbf{P}^{\vee} & \xrightarrow{q^{\vee}} & \mathbf{E}^{\vee} \\ & \searrow_{q \circ p^{\vee}} & \swarrow_{p^{\vee}} \\ & \mathbf{C} & \end{array}$$

Is this what we look for? Let's unpack.

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On  $\mathbf{P}$  we had a more refined factorization system, since we have three kinds of arrows:

- **$q$ -cartesian arrows**, which are cartesian lifts of  $\mathbf{E}$ -arrows, and come in two subcategories:
  - **$p$ -cartesian arrows**, which are cartesian lifts of  $\mathbf{C}$ -arrows, hence cartesian  $\mathbf{E}$ -arrows,
  - **$p$ -vertical arrows**, which are cartesian lifts of vertical  $\mathbf{E}$ -arrows,
- **$q$ -vertical arrows**, which are in the fibers of  $q$ .

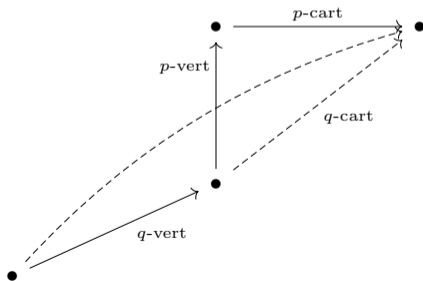
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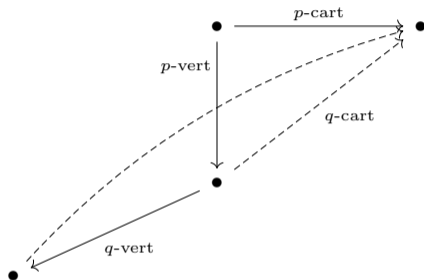
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  - **$p$ -cartesian arrows**, which are cartesian lifts of  $\mathbf{C}$ -arrows, hence cartesian  $\mathbf{E}$ -arrows,
  - **$p$ -vertical arrows**, which are cartesian lifts of vertical  $\mathbf{E}$ -arrows,
- **$q$ -vertical arrows**, which are in the fibers of  $q$ .

This forms a ternary factorization system **( $q$ -vertical,  $p$ -vertical,  $p$ -cartesian)**:



## Dialectica, fibrationally

When we turn around the fibers of  $\mathbf{E}$ , we swap  $q$ -vertical and  $p$ -vertical arrows:



Hence on  $\mathbf{P}^\vee$  we end up with a ternary factorization system where  $p$ - and  $q$ -vertical arrows are swapped:

**( $p$ -vertical<sup>op</sup>,  $q$ -vertical<sup>op</sup>,  $p$ -cartesian)**

## Dialectica, fibrationally

We can understand this factorization system as arising from an **ambifibration** structure on  $q^\vee$ :

### Definition

Let  $(L, R)$  be a factorization system on  $\mathbf{D}$ . An **ambifibration**  $a : \mathbf{F} \rightarrow \mathbf{D}$  is a functor such that

- every arrow in  $L$  has an opcartesian lift ( $a$  is an opfibration on  $L$ )
- every arrow in  $R$  has a cartesian lift ( $a$  is a fibration on  $R$ )

This induces the ternary factorization system (**opcartesian, vertical, cartesian**) on  $\mathbf{F}$ :

$$\begin{array}{c} \mathbf{F} \\ \downarrow a \\ \mathbf{D} \end{array} \quad \begin{array}{ccccccc} A' & \xrightarrow{\text{opcart}} & \ell_* A' & \xrightarrow{\text{vert}} & r^* C' & \xrightarrow{\text{cart}} & C' \\ A & \xrightarrow{\in L} & B & \xlongequal{\quad} & B & \xrightarrow{\in R} & C \end{array}$$

## Dialectica, fibrationally

In our case:

- $\mathbf{E}^\vee$  has the factorization system **(vertical<sup>op</sup>, cartesian)**
- $q^\vee$  is a fibration on the cartesian class of  $\mathbf{E}$ , given by  $q$ , and became an opfibration on the vertical class because it acts like  $q^{\text{op}}$  there:

$$\begin{pmatrix} \alpha \\ X \\ U \end{pmatrix} \xleftarrow{f_\alpha^\sharp} \begin{pmatrix} (f^\sharp)^* \alpha \\ X \\ U \end{pmatrix} \xleftarrow{f^*} \begin{pmatrix} f^* \beta \\ X \\ U \end{pmatrix} \xrightarrow{f_\beta} \begin{pmatrix} \beta \\ Y \\ V \end{pmatrix}$$

$$\begin{pmatrix} X \\ U \end{pmatrix} \xleftarrow{f^\sharp} \begin{pmatrix} f^* Y \\ U \end{pmatrix} \xlongequal{\quad} \begin{pmatrix} f^* Y \\ U \end{pmatrix} \xrightarrow{f} \begin{pmatrix} Y \\ V \end{pmatrix}$$

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This is very close: we have  $f$ ,  $f^\sharp$  and the right boundaries for  $f^*$ .

## The iterated dual construction

Let  $p = \mathbf{E}_n \xrightarrow{p_n} \dots \xrightarrow{p_2} \mathbf{E}_1 \xrightarrow{p_1} \mathbf{E}_0$  be a sequence of  $n$  fibrations.

### Definition

The **iterated dual construction** is defined inductively as follows:

- $n = 1$

$$(\mathbf{E}_1 \xrightarrow{p_1} \mathbf{E}_0)^{\vee_1} = \mathbf{E}_1^{\vee_{\mathbf{E}_0}} \xrightarrow{p_1^{\vee_{\mathbf{E}_0}}} \mathbf{E}_0$$

- $n = k + 1$

$$(\mathbf{E}_{k+1} \xrightarrow{p_{k+1}} \dots \xrightarrow{p_2} \mathbf{E}_1 \xrightarrow{p_1} \mathbf{E}_0)^{\vee_{k+1}} = (\mathbf{E}_{k+1} \xrightarrow{p_{k+1}} \dots \mathbf{E}_1)^{\vee_{\mathbf{E}_0} \vee_k} \xrightarrow{p_1^{\vee_{\mathbf{E}_0}}} \mathbf{E}_0$$

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**Example**  $(\mathbf{E}_2 \xrightarrow{p_2} \mathbf{E}_1 \xrightarrow{p_1} \mathbf{E}_0)^{\vee_2} = (\mathbf{E}_2^{\vee_{\mathbf{E}_0}})^{\vee_{\mathbf{E}_1}} \xrightarrow{(p_2^{\vee_{\mathbf{E}_0}})^{\vee_{\mathbf{E}_1}}} \mathbf{E}_1^{\vee_{\mathbf{E}_0}} \xrightarrow{p_1^{\vee_{\mathbf{E}_0}}} \mathbf{E}_0$

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### Definition

A morphism in  $\mathbf{E}_n^{\vee_n}$  is called a  **$p$ -dialens of height  $n$** .

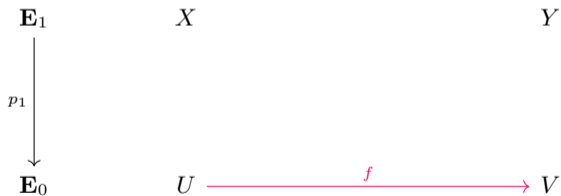
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$$\begin{array}{ccc} \mathbf{E}_2^{\vee \mathbf{E}_0} & \alpha & \beta \\ \downarrow p_2^{\vee \mathbf{E}_0} & & \\ \mathbf{E}_1^{\vee \mathbf{E}_0} & X \xleftarrow{f^\#} f^*Y \xrightarrow{f_Y} Y & \\ \downarrow p_1^{\vee \mathbf{E}_0} & & \\ \mathbf{E}_0 & U \xlongequal{\quad} U \xrightarrow{f} V & \end{array}$$

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 \mathbf{E}_1^{\vee \mathbf{E}_0} & X \xleftarrow{f^\sharp} f^* Y \equiv f^* Y \xrightarrow{f_Y} Y & \\
 \downarrow p_1^{\vee \mathbf{E}_0} & & \\
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 \downarrow p_1 \vee_{\mathbf{E}_0} & & \\
 \mathbf{E}_0 & U \xlongequal{\quad} U \xlongequal{\quad} U \xrightarrow{f} V &
 \end{array}$$



## All things are $p$ -dialenses

As expected, lenses are dialenses of height 1:

$$\begin{array}{ccc} & \mathbf{E} & U \xleftarrow{f^\sharp} f^*V \xrightarrow{f_V} V \\ p\text{-lenses} & \downarrow p & \\ & \mathbf{C} & X \xlongequal{\quad} X \xrightarrow{f} Y \end{array}$$

# All things are $p$ -dialenses

As expected, Dialectica morphisms are dialenses of height 2:

vanilla

$$\begin{array}{ccc}
 \text{Sub}(\mathbf{C}) \times_{\mathbf{C}} \mathbf{C}_{\text{proj}}^{\downarrow} & \longrightarrow & \text{Sub}(\mathbf{C}) \\
 p_2 \downarrow & \lrcorner & \downarrow \text{sub} \\
 \mathbf{C}_{\text{proj}}^{\downarrow} & \xrightarrow{\times} & \mathbf{C} \\
 \text{cod}=p_1 \downarrow & & \\
 \mathbf{C} & & 
 \end{array}$$

$$\begin{array}{ccccccc}
 \alpha & \xleftarrow{f_{\alpha}^{\#}} & (f^{\#})^* \alpha & \subseteq & f^* \beta & \xrightarrow{f_{\beta}} & \beta \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 U \times X & \xleftarrow{f^{\#}} & V \times X & \equiv & V \times X & \xrightarrow{f_V} & V \times Y \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 X & \equiv & X & \equiv & X & \xrightarrow{f} & Y
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 \end{array}$$

dependent

$$\begin{array}{ccc}
 \text{Sub}(\mathbf{C}) \times_{\mathbf{C}} \mathbf{C}_{\text{proj}}^{\downarrow} & \longrightarrow & \text{Sub}(\mathbf{C}) \\
 p_2 \downarrow & \lrcorner & \downarrow \text{sub} \\
 \mathbf{C}^{\downarrow} & \xrightarrow{\text{dom}} & \mathbf{C} \\
 \text{cod}=p_1 \downarrow & & \\
 \mathbf{C} & & 
 \end{array}$$

$$\begin{array}{ccccc}
 \alpha & \xleftarrow{f_{\alpha}^{\#}} & (f^{\#})^* \alpha & \subseteq & f^* \beta & \xrightarrow{f_{\beta}} & \beta \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 U & \xleftarrow{f^{\#}} & f^* V & \equiv & f^* V & \xrightarrow{f_V} & V \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 X & \equiv & X & \equiv & X & \xrightarrow{f} & Y
 \end{array}$$

## All things are $p$ -dialenses

Optics are  $p$ -dialenses of height 2 (but using opfibrations instead of fibrations!):

(op)tics

$$\begin{array}{ccc}
 \mathbf{Para}(\circ) \times_{\mathbf{BM}} \mathbf{Para}(\bullet) & \longrightarrow & \mathbf{Para}(\circ) \\
 p_2 \downarrow & \lrcorner & \downarrow f \circ \\
 \mathbf{Para}(\bullet) & \xrightarrow{f \bullet} & \mathbf{BM} \\
 f \bullet = p_1 \downarrow & & \\
 \mathbf{BM} & & 
 \end{array}$$

$$U \xrightarrow{m_U} m \circ U \xrightarrow{f^\#} V \xlongequal{1_V} V$$

$$X \xrightarrow{m_X} m \bullet X \xlongequal{\quad} m \bullet X \xleftarrow{f} Y$$

$$* \xrightarrow{m} * \xlongequal{\quad} * \xlongequal{\quad} *$$

## Comparison with Hofstra's $\mathcal{D}ial$ monad

Hofstra defined a monad on fibrations that builds Dialectica-like categories by simple sum-product completion:

$$\mathcal{D}ial(p) = \mathcal{S}um(\mathcal{P}rod(p)) = \mathcal{S}um(\mathcal{S}um(p^\vee)^\vee)$$

where  $p : \mathbf{P} \rightarrow \mathbf{C}$  is a fibration on  $\mathbf{C}$  cartesian monoidal.

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In  $\mathfrak{Dial}(p)$ , objects are triples  $(I, X, U : \mathbf{C}, \alpha : \mathbf{P}(I \times X \times U))$  and morphisms have **four** parts

$$f_0 : I \rightarrow J$$

$$f : I \times X \rightarrow Y$$

$$f^\sharp : I \times X \times V \rightarrow U$$

$$\text{given } i : I, x : X, v : V, f^\ast : \alpha(i, x, f^\sharp(i, x, v)) \rightarrow \beta(f_0(i), f(i, x), v)$$

## Comparison with Hofstra's Dial monad

If we ignore the duals for a moment (they can be put back later), we see this is actually given by a sequence of **three** fibrations over  $\mathbf{C}$ :

$$\begin{array}{ccccc}
 \mathbf{Sum}(\mathbf{Sum}(p)) & \longrightarrow & \mathbf{Sum}(p) & \longrightarrow & \mathbf{P} \\
 \downarrow q_3 & \lrcorner & \downarrow & \lrcorner & \downarrow p \\
 \mathbf{C}_{\text{proj}}^{\downarrow} \times_{\mathbf{C}} \mathbf{C}_{\text{proj}}^{\downarrow} & \longrightarrow & \mathbf{C}_{\text{proj}}^{\downarrow} & \xrightarrow{\times} & \mathbf{C} \\
 \downarrow q_2 & \lrcorner & \downarrow \text{cod} & & \\
 \mathbf{C}_{\text{proj}}^{\downarrow} & \xrightarrow{\times} & \mathbf{C} & & \\
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$$\begin{array}{ccccc}
 \mathfrak{S}um(\mathfrak{S}um(p)) & \longrightarrow & \mathfrak{S}um(p) & \longrightarrow & \mathbf{P} \\
 \downarrow q_3 & \lrcorner & \downarrow & \lrcorner & \downarrow p \\
 \mathbf{C}_{proj}^\downarrow \times_{\mathbf{C}} \mathbf{C}_{proj}^\downarrow & \longrightarrow & \mathbf{C}_{proj}^\downarrow & \xrightarrow{\times} & \mathbf{C} \\
 \downarrow q_2 & \lrcorner & \downarrow \text{cod} & & \\
 \mathbf{C}_{proj}^\downarrow & \xrightarrow{\times} & \mathbf{C} & & \\
 \downarrow q_1 = \text{cod} & & & & \\
 \mathbf{C} & & & & 
 \end{array}$$

One can see  $\mathfrak{D}ial(p)$  is obtained by dualizing the top two:

$$\mathfrak{D}ial(p) = (\mathfrak{S}um(\mathfrak{S}um(p)) \xrightarrow{q_3} \mathbf{C}_{proj}^\downarrow \times_{\mathbf{C}} \mathbf{C}_{proj}^\downarrow \xrightarrow{q_2} \mathbf{C}_{proj}^\downarrow)^{\vee 2} \xrightarrow{q_1} \mathbf{C}$$

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- how this can be made precise by extending the lens construction to longer chains of fibrations

In the future, we are going to

- explore more examples of dialenses, for instance arising from 'differential structures',
- see if the structure of dialens is apt for dependent optics



**Thanks for your  
attention!**